

Substitute PTO/SB/86 (05-09)

Attorney Docket No. 0070840-000003

STATEMENT UNDER 37 C.F.R. 3.73(b)

Applicant/Patent Owner: Scarlata LimitedApplication No./Patent No.: 6,555,700 Filed/Issue Date: April 29, 2003
1,3 PROPANE DIOL ESTERS AND ETHERS AND METHODS FOR THEIR USE IN DRUG
Entitled: DELIVERY

Scarlata Limited, a corporation, states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title and interest in
(the extent (by percentage) of its ownership interest is _____ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the
joint inventors was made)

the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the application/patent identified above. The assignment
was recorded in the United States Patent and Trademark Office at Reel _____
Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the
current assignee as follows:

1. From: David Frederick Horobin et al. To: Scotia Holdings PLC
The document was recorded in the United States Patent and Trademark Office at Reel
012483, Frame 0651, or for which a copy thereof is attached.
2. From: Scotia Holdings PLC To: Scarlata Limited
The document was recorded in the United States Patent and Trademark Office at Reel
014051, Frame 0490, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel
_____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.☒ As required by 37 C.F.R. § 3.73(b)(1)(i), the documentary evidence of the chain of title from the
original owner to the assignee was, or concurrently is being, submitted for recordation pursuant
to 37 C.F.R. § 3.11.(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment
Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Shari Clarkson
SignatureMay 5, 2011
DateSHARI CLARKSON
Printed or Typed NameDIRECTOR
Title